

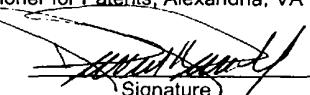


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Jens Bastue Examiner: Unknown
Serial No.: 09/928159 Group Art Unit: 2872
Filed: August 10, 2001 Docket No.: 01485.0015-US-01
Title: ALIGNMENT OF MULTI-CHANNEL DIFFRACTIVE WDM DEVICE

CERTIFICATE UNDER 37 C.F.R. 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450 on October 27, 2003.

David H. Carroll
Name


(Signature)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

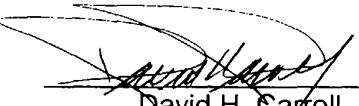
- Supplemental Information Disclosure Statement, Form 1449, copies of 3 reference(s),
- Associate Power of Attorney
- Transmittal Sheet
- Return postcard

Authorization is hereby given to charge any additional fees or credit any overpayments that may be deemed necessary to Deposit Account Number 50-1038.

Respectfully submitted,

Altera Law Group, LLC
Customer No. 22865

Date: October 27, 2003

By: 

David H. Carroll
Reg. No. 29903
DHC/vlb



Serial No. 09/928159

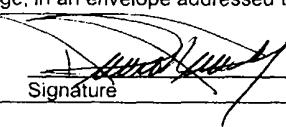
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Jens Bastue	Examiner:	Unknown
Serial No.:	09/928159	Group Art Unit:	2872
Filed:	August 10, 2001	Docket No.:	01485.0015-US-01
Title:	ALIGNMENT OF MULTI-CHANNEL DIFFRACTIVE WDM DEVICE		

CERTIFICATE UNDER 37 C.F.R. 1.8: The undersigned hereby certifies that this document is being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450 on October 27, 2003.

David H. Carroll
Name


Signature

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(b)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicant(s) respectfully submit(s) the items of information on the enclosed Form 1449 for the attention of the Examiner in the above-identified application.

This Information Disclosure Statement is being filed within three months of the filing of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d); within three months of the date of entry of the national stage as set forth in 37 C.F.R. 1.491 in an international application; before the mailing date of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. 1.114. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

A copy of each document or other information listed on the enclosed Form 1449 is enclosed in accordance with 37 C.F.R. §1.98(a)(2) and/or a copy of each document is not provided because it was previously cited by or submitted to the U.S. Patent and Trademark Office in a parent application in accordance with 37 C.F.R. §1.98(d).

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§102 and 103. In addition, Applicant(s) do(es) not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended, and reserve the right to establish otherwise under 37 C.F.R. §1.131 or others.

Consideration of the items listed is respectfully requested. According to M.P.E.P. §609, Applicant(s) request(s) that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Authorization is hereby given to charge any additional fees or credit any overpayments that may be deemed necessary to Deposit Account Number 50-1038.

Respectfully submitted,

Altera Law Group, LLC
Customer No. 22865

Date: October 27, 2003

By: 

David H. Carroll
Reg. No. 29903
DHC/vlb



Examiner: _____ Date Considered: _____